A Rewrite System for Strongly Normalizable Terms

IRIF Seminar

Olivier Hermant & Ronan Saillard

CRI, MINES ParisTech, PSL University

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Intersection Types

$$\frac{(x:F) \in \Gamma}{\Gamma \vdash x:F} \text{ (Axiom)}$$

$$\frac{\Gamma \vdash X:F \to G \qquad \Gamma \vdash Y:F}{\Gamma \vdash X:Y:G} (\to_E) \qquad \frac{\Gamma,x:F \vdash X:G}{\Gamma \vdash \lambda x.X:F \to G} (\to_I)$$

$$\frac{\Gamma \vdash X : F \cap G}{\Gamma \vdash X : F} (\cap_{E1}) \quad \frac{\Gamma \vdash X : F \cap G}{\Gamma \vdash X : G} (\cap_{E2}) \quad \frac{\Gamma \vdash X : F}{\Gamma \vdash X : F \cap G} (\cap_{E2})$$

- $\lambda x.(x \ x): (A \cap (A \to A)) \to A$
- if X is typable, it is SN
- ▶ if X is SN, it is typable



Statman's System [2012]

 higher-order predicate/new connective D : ι → o → o → o, a discriminator

- properties : D 0 F G is F and D 1 F G is G
- intuition
 - ★ D is an "if...then...else..." operator
 - ★ ∀v DvFG encodes F ∩ G
- meaning given by rewrite rules

$$\begin{array}{cccc} D0FG & \longrightarrow F & (0) \\ D1FG & \longrightarrow G & (1) \\ Dt(F \to G)(H \to K) & \longrightarrow (DtFH) \to (DtGK) & (\to) \\ Dt(\forall xF)G & \longrightarrow \forall x(DtFG) & (\forall_1^*) \\ DtF(\forall xG) & \longrightarrow \forall x(DtFG) & (\forall_2^*) \\ \forall xF & \longrightarrow F & (\$^\dagger) \\ \forall X\forall yF & \longrightarrow \forall y\forall xF & (\$\$) \end{array}$$

(*) no variable is captured (including those of *t*)

(†) x does not appear in F

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Typing Rules

$$\frac{(x:F) \in \Gamma}{\Gamma \vdash X:F} \text{ (Axiom)} \qquad \frac{\Gamma \vdash X:F \qquad F \equiv G}{\Gamma \vdash X:G} \text{ (Conv)}$$

$$\frac{\Gamma \vdash X:F \to G \qquad \Gamma \vdash Y:F}{\Gamma \vdash XY:G} (\to_E) \qquad \frac{\Gamma, x:F \vdash X:G}{\Gamma \vdash \lambda x.X:F \to G} (\to_I)$$

$$\frac{\Gamma \vdash X:F \qquad v:\iota \qquad v \notin fv(\Gamma)}{\Gamma \vdash X:\forall v.F} (\forall_I) \qquad \frac{\Gamma \vdash X:\forall v.F \qquad t:\iota \qquad t \text{ free for } v \text{ in } F}{\Gamma \vdash X:F[v/t]} (\forall_E)$$

Figure – Typing Rules of Minimal Natural Deduction with Conversion

- if X is typable, it is SN
- if X is SN, it is typable

Example

• Give a type to $\lambda x.(x x)$

Goals

- down to first-order intuitionistic logic
 - with rewrite rules
 - suitable framework : Deduction Modulo Theory
- prove
 - \star if X is typable, it is SN
 - ★ if X is SN, it is typable
- (further work) the superconsistency conjecture
 - ⋆ (G. Dowek): a type system is superconsistent iff it is SN
 - \star (⇒) : proof by Dowek
 - **★** (**⇐**):?
 - ★ this system is a candidate to disprove the conjecture

Method

- a type system with conversion is totally acceptable
- conversion is now defined otherwise
- remind Statman's system

$$\begin{array}{cccc} D0FG & \longrightarrow F & (0) \\ D1FG & \longrightarrow G & (1) \\ Dt(F \to G)(H \to K) \longrightarrow (DtFH) \to (DtGK) & (\to) \\ Dt(\forall xF)G & \longrightarrow \forall x(DtFG) & (\forall^*) \\ DtF(\forall xG) & \longrightarrow \forall x(DtFG) & (\forall^*) \\ \forall xF & \longrightarrow F & (\$^{\dagger}) \\ \forall X\forall yF & \longrightarrow \forall y\forall xF & (\$\$) \end{array}$$

- we need to get rid of D
- at least at the propositional level
- what can we save from this system in Deduction Modulo Theory?

Deduction Modulo Theory

Rewrite Rule

A term (resp. proposition) rewrite rule is a pair of terms (resp. formulæ) $I \longrightarrow r$, where $\mathcal{FV}(I) \subseteq \mathcal{FV}(r)$ and, in the propositiona case, I is atomic.

Examples:

term rewrite rule :

$$A \cup \emptyset \longrightarrow A$$

proposition rewrite rule :

$$A \subseteq B \longrightarrow \forall x \ x \in A \Rightarrow x \in B$$

Conversion modulo a Rewrite System

We consider the congruence \equiv generated by a set of proposition rewrite rules \mathcal{R} and a set of term rewrite rules \mathcal{E} (often implicit)

Example:

$$A \cup \emptyset \subseteq A \equiv \forall x \ x \in A \Rightarrow x \in A$$

Typing Rules

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FIGURE - Typing Rules of Minimal Natural Deduction Modulo Theory

Proof of $A \subseteq A$ with and without **DM**

• without $(\Gamma := z : A \subseteq A \Leftrightarrow \forall x (x \in A \Rightarrow x \in A))$:

$$(ax) \frac{\Gamma \vdash z : A \subseteq A \Leftrightarrow \forall x (x \in A \Rightarrow x \in A)}{\Gamma \vdash z_2 : \forall x (x \in A \Rightarrow x \in A) \Rightarrow A \subseteq A} \qquad \vdots \\ \frac{\Gamma \vdash \lambda y . y : \forall x (x \in A \Rightarrow x \in A) \Rightarrow A \subseteq A}{\Gamma \vdash (z_2 (\lambda y . y)) : A \subseteq A}$$

with

$$(ax) \frac{\overline{y : x \in A + y : x \in A}}{+ \lambda y.y : x \in A \Rightarrow x \in A} \forall_{I}$$

$$\frac{+ \lambda y.y : \forall x(x \in A \Rightarrow x \in A)}{+ \lambda y.y : A \subseteq A} (Conv)$$

• "as if" we replaced z_1 and z_2 with $\lambda \alpha. \alpha$ (see also Polarized Deduction Modulo Theory)

Statman's System in First-Order Minimal DMT

Analysis

$$\begin{array}{cccc} D0FG & \longrightarrow F & (0) \\ D1FG & \longrightarrow G & (1) \\ Dt(F \to G)(H \to K) \longrightarrow (DtFH) \to (DtGK) & (\to) \\ Dt(\forall xF)G & \longrightarrow \forall x(DtFG) & (\forall^*) \\ DtF(\forall xG) & \longrightarrow \forall x(DtFG) & (\forall^*) \\ \forall xF & \longrightarrow F & (\$^{\uparrow}) \\ \forall X\forall yF & \longrightarrow \forall y\forall xF & (\$\$) \end{array}$$

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- ▶ get rid of D
 - ★ reflect it as a term (techniques to embed HOL)
 - ★ introduce the following terms : $\dot{\forall}$, $\dot{\Rightarrow}$, D, 0, 1
 - \star and a unique unary predicate arepsilon

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- get rid of D
 - reflect it as a term (techniques to embed HOL)
 - ★ introduce the following terms: $\dot{\forall}$, $\dot{\Rightarrow}$, D, 0, 1
 - * and a unique unary predicate ε
- combine terms properly (e.g. forbid ∀⇒)
 - \star simple types, with ι (0 and 1) and o (propositional terms)

$$\begin{array}{ll} 0,1: \iota & D: \iota \to o \to o \to o \\ \dot{\forall} & : (\iota \to o) \to o & \dot{\Rightarrow} : o \to o \to o \end{array}$$

- ★ add one propositional symbol p : o
- propositional rewriting :

Statman's System in First-Order Minimalist Deduction Modulo Theory

Statman's System :

$$\begin{array}{cccc} D0FG & \longrightarrow F & (0) \\ D1FG & \longrightarrow G & (1) \\ Dt(F \to G)(H \to K) & \longrightarrow (DtFH) \to (DtGK) & (\to) \\ Dt(\forall xF)G & \longrightarrow \forall x(DtFG) & (\forall^*) \\ DtF(\forall xG) & \longrightarrow \forall x(DtFG) & (\forall^*) \\ \forall xF & \longrightarrow F & (\$^{\dagger}) \\ \forall X\forall yF & \longrightarrow \forall y\forall xF & (\$\$) \end{array}$$

we can readily define three rewrite rules :

$$\begin{array}{ccc}
D0FG & \longrightarrow F & (0) \\
D1FG & \longrightarrow G & (1) \\
Dt(F \Rightarrow G)(H \Rightarrow K) & \longrightarrow (DtFH) \Rightarrow (DtGK) & (\Rightarrow)
\end{array}$$

still to be defined

$$\begin{array}{ccc} Dt(\dot{\forall}F)G \longrightarrow \dot{\forall}? & (\forall_1) \\ DtF(\dot{\forall}G) \longrightarrow \dot{\forall}? & (\forall_2) \end{array}$$

How to Abstract

Need an equivalent of $Dt(\forall xF)G \longrightarrow \forall x(DtFG)$

- ▶ at term level $Dv(\forall F)G$
- ▶ no "free variable x"
 - hence no "freshness constraint" (good)
 - nevertheless need to define something like

$$Dv(\dot{\forall}F)G \longrightarrow \dot{\forall}(\lambda x.(Dv(Fx)G))$$

for some fresh x

- two solutions exist in Deduction modulo theory
 - **1** allow λ -abstraction in the simply-typed term language
 - replace this by a combinatorial calculus
- choice :
 - Solution 1 cumbersome : explicit substitutions interfere with D
 - ★ Solution 2 cumbersome too
 - could we have dropped explicit substitutions?



Combinatorial Calculus SKI

we introduce

$$\begin{split} I &: \iota \to \iota \\ K &: \tau \to \iota \to \tau \\ S &: (\iota \to \tau \to \alpha) \to (\iota \to \tau) \to \iota \to \alpha \end{split}$$

- and application symbols :
 - ★ denote in those slides as white space
- usual reduction rules

$$\begin{array}{cccc} I & X & \longrightarrow X & & (I) \\ K & X & Y & \longrightarrow X & & (K) \\ S & X & Y & Z & \longrightarrow X & Z & (Y & Z) & (S) \end{array}$$

Combinatorial Calculus SKI

- defining abstraction
 - it is possible (see textbooks)
 - * we only need

$$Dv(\dot{\forall}F)G \longrightarrow \dot{\forall}(\lambda x.(Dv(Fx)G))$$

with x fresh.

* so, define $\lambda x.(Dv(Fx)G)$ as

similarly for

$$DvF(\dot{\forall}G) \longrightarrow \dot{\forall}(\lambda x.(DvF(Gx)))$$

- * note:
 - * no new (rewriting) redex is created
 - ★ some redex might be destroyed (take 0 or 1 for v)



The Final Rewriting System

encoding the logic :

encoding Statman's rules :

$$\begin{array}{ccc} D0FG & \longrightarrow F & (0) \\ D1FG & \longrightarrow G & (1) \\ Dt(F \Rightarrow G)(H \Rightarrow K) & \longrightarrow (DtFH) \Rightarrow (DtGK) & (\Rightarrow) \\ Dv(\forall F)G & \longrightarrow \forall (\lambda x.(Dv(Fx)G)) & (\forall_1) \\ DvF(\forall G) & \longrightarrow \forall (\lambda x.(DvF(Gx))) & (\forall_2) \end{array}$$

- no way to encode
 - (\$) pruning unnecessary quantifiers,
 - (\$\$) permuting quantifiers
 - * nonterminating rules
- but we need confluence! 7 critical pairs:
 - * $Dv(\dot{\forall}F)(\dot{\forall}G)$ (needs (\$\$))
 - ★ $D0(\dot{\forall}F)G$ (reducing by \forall_1 "freezes" the (0))
 - \star impossible for terms, weak confluence at the ε -level (sweat)

Termination First

encoding the logic :

encoding Statman's rules :

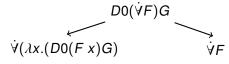
$$\begin{array}{ccc} D0FG & \longrightarrow F & (0) \\ D1FG & \longrightarrow G & (1) \\ Dt(F \stackrel{.}{\Rightarrow} G)(H \stackrel{.}{\Rightarrow} K) & \longrightarrow (DtFH) \stackrel{.}{\Rightarrow} (DtGK) & (\stackrel{.}{\Rightarrow}) \\ Dv(\stackrel{.}{\forall} F)G & \longrightarrow \stackrel{.}{\forall} (\lambda x. (Dv(Fx)G)) & (\stackrel{.}{\forall}_1) \\ DvF(\stackrel{.}{\forall} G) & \longrightarrow \stackrel{.}{\forall} (\lambda x. (DvF(Gx))) & (\stackrel{.}{\forall}_2) \end{array}$$

- termination
 - ε reduces the number of $\dot{\forall}$, \Rightarrow
 - 2 typed-restricted S and K imply no duplication of \forall and \Rightarrow

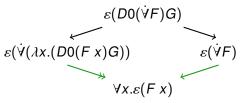
 - simply-typed SKI terminates
- automatically prove termination?

Confluence

impossible at the term level



fixed at the proposition level



• still problematic for $D0F(\dot{\forall}G)$:

$$\forall y \varepsilon(F)^* \leftarrow \longrightarrow^* \varepsilon(F)$$

• and $Dv(\forall F)(\forall G)$:

$$\forall x \forall y \varepsilon (Dv(Fx)(Gy))^* \longleftarrow \longrightarrow^* \forall y \forall x \varepsilon (Dv(Fx)(Gy))$$

Confluence up to equivalence

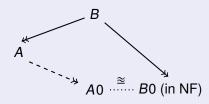
- but we can have confluence up to : variable renaming, pruning and inversion of quantifiers
- does not fit in a term equational theory ${\mathcal E}$

Definition

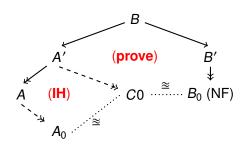
A ≈ B :

- if A and B normal, and
- either $A = \forall \vec{x}.\varepsilon(t_A), B = \forall \vec{y}.\varepsilon(t_B)$ and $\varepsilon(t_A) \equiv \varepsilon(t_B)$
- or $A = \forall \vec{x}.(A_1 \rightarrow A_2), B = \forall \vec{y}.(B_1 \rightarrow B_2)$ and $A_1 \equiv B_1$ and $A_2 \equiv B_2$.

Lemma



Proof of the Lemma: Strategy



- get rid of no rewrite step from B to A or to B0
- prove the existence of C₀
- ▶ induction on : the height of the reduction tree of B, noted |B|
- ▶ easy if $A' \leftarrow B \rightarrow B'$ does not involve a critical pair
- ▶ let us see the $\forall F \longleftarrow D0(\forall F)G \longrightarrow \forall \lambda x.(D0(F x)G)$ case

One Critical Pair

we have

$$B = \mathcal{K}[D0(\dot{\forall}F)G]$$

$$A' = \mathcal{K}[\dot{\forall}F] \qquad B' = \mathcal{K}[\dot{\forall}\lambda x.(D0(F \ x)G)]$$

$$\downarrow B_0 \ (NF)$$

- ▶ long time to wait before joining at the ε level
- introduce the inductive invariant

 $\textit{t}_1 \sim \textit{t}_2$ if there exist a context $\mathcal K$ and two terms θ_1, θ_2 such that :

- $\star A' \longrightarrow^* t_1 = \mathcal{K}[\dot{\forall} \theta_1],$
- $* B' \longrightarrow^* t_2 = \mathcal{K}[\dot{\forall}\theta_2] \longrightarrow^* B_0,$
- \star $P(\theta_1, \theta_2)$ where $P(u_1, u_2)$ is :
 - * $u_2x \longrightarrow^* {}^* \longleftarrow u_1x$ and
 - * if $u_2 \longrightarrow u_2'$ then for some $u_1 \longrightarrow^* u_1'$, $P(u_1', u_2')$
- $P(\dot{\forall}F,\dot{\forall}\lambda x.(D0(F x)G))$



Critical Pair: Interesting Subcases

 $t_1 \sim t_2$ if there exist a context $\mathcal K$ and two terms θ_1, θ_2 such that :

- $A' \longrightarrow^* t_1 = \mathcal{K}[\dot{\forall} \theta_1],$
- $B' \longrightarrow^* t_2 = \mathcal{K}[\dot{\forall}\theta_2] \longrightarrow^* B_0,$
- $P(\theta_1, \theta_2)$ where $P(u_1, u_2)$ is :
 - $\star u_2 x \longrightarrow^* \star \longleftarrow u_1 x$ and
 - * if $u_2 \longrightarrow u_2'$ then for some $u_1 \longrightarrow^* u_1'$, $P(u_1', u_2')$
- ▶ proof the existence of C_0 by induction on $t_2 \longrightarrow^* B_0$
- $t_2 = \mathcal{L}[\varepsilon(\dot{\forall}\theta_2)] \longrightarrow \mathcal{L}[\forall x.\varepsilon(\theta_2x)]$
 - ★ Confluence case. Saved! (big IH as |t₂| < |B|)</p>
- $t_2 = \mathcal{L}[Dv(\dot{\forall}\theta_2)Z] \longrightarrow \mathcal{L}[\dot{\forall}\lambda^x(Dv(\theta_2x)Z)]$
 - * IH, since $P(\lambda x.(Dv(\theta_1 x)Z), \lambda x.(Dv(\theta_2 x)Z))$
- $t_2 = \mathcal{K}[\dot{\forall}\theta_2] \longrightarrow \mathcal{K}'[\dot{\forall}\theta_2]$: fits
- $t_2 = \mathcal{K}[\dot{\forall}\theta_2] \longrightarrow \mathcal{K}[\dot{\forall}\theta_2']$: fits



Digging Terms

- confluence up to ≈ at the proposition level!
- with intersection types, merging derivations :

$$\frac{\Gamma \vdash X : F \qquad \Gamma \vdash X : G}{\Gamma \vdash X : F \cap G} (\cap_{I})$$

derivation transformations in Statman's system

Derivation Merge (Statman)

If
$$\Gamma_1 \vdash X : F$$
 and $\Gamma_2 \vdash X : G$ then $Dv\Gamma_1\Gamma_2 \vdash X : DvFG$

- we must
 - prove the result
 - ★ perform this on terms (D is a term)

Proposition Reification

$$\begin{array}{lll} \gamma(\varepsilon(t)) & := & t \\ \gamma(F \to G) & := & \gamma(F) \dot{\Rightarrow} \gamma(G) \\ \gamma(\forall x.F) & := & \dot{\forall} (\lambda x.(\gamma(F))) \end{array}$$

Reification

Proposition Reification

$$\gamma(\varepsilon(t)) := t
\gamma(F \Rightarrow G) := \gamma(F) \Rightarrow \gamma(G)
\gamma(\forall x.F) := \forall (\lambda x.(\gamma(F)))$$

 $\gamma(F)$ noted \dot{F} .

- $\triangleright \varepsilon(\gamma(F)) \longrightarrow^* F$
- we need (for conversions)

if
$$F \equiv F', G \equiv G'$$
 then $DvFG \equiv DvF'G'$

- problem : not preserved by γ ! Counter-example :
 - * $F = \forall x. \varepsilon(D0AB)$ and $F' = \forall x. \varepsilon(A)$
 - ★ F is not convertible with F'
 - ★ When F contains quantifiers : redexes frozen by \(\lambda\)



Reification

Proposition Reification

$$\begin{array}{lll} \gamma(\varepsilon(t)) & := & t \\ \gamma(F \Rightarrow G) & := & \gamma(F) \dot{\Rightarrow} \gamma(G) \\ \gamma(\forall x.F) & := & \dot{\forall} (\lambda x.(\gamma(F))) \end{array}$$

 $\gamma(F)$ noted \dot{F} .

We actually need

Merges are Convertible

if
$$F \equiv F'$$
, $G \equiv G'$ then $\varepsilon(Dv\dot{F}\dot{G}) \equiv \varepsilon(Dv\dot{F}'\dot{G}')$

► Works separately for $F_1 \equiv G_1$ and $F_2 \equiv G_2$, but not for deeper combinations :

$$\varepsilon(Dv(\dot{F}_1 \Rightarrow \dot{F}_2)\dot{p})$$
 not convertible with $\varepsilon(Dv(\dot{G}_1 \Rightarrow \dot{G}_2)\dot{p})$

 \dot{p} is not implicational : ε cannot expose the structure

Digging

Proposition Reification

```
\begin{array}{lll} \gamma(\varepsilon(t)) & := & t \\ \gamma(F \Rightarrow G) & := & \gamma(F) \dot{\Rightarrow} \gamma(G) \\ \gamma(\forall x.F) & := & \dot{\forall} (\lambda x.(\gamma(F))) \end{array}
```

 $\gamma(F)$ noted \dot{F} .

- $\varepsilon(Dv(\dot{F}_1 \Rightarrow \dot{F}_2)\dot{p})$ not convertible with $\varepsilon(Dv(\dot{G}_1 \Rightarrow \dot{G}_2)\dot{p})$
- idea : dig out the implicational structure
 - * replace all \dot{p} with $\dot{p} \Rightarrow \dot{p}$
 - ⋆ potentially, n times
 - ★ notation t{n}

Merge Conversion

If $F_1 \equiv F_2$ and $G_1 \equiv G_2$ then, for some n, $\varepsilon(Dv\dot{F}_1\{n\}\dot{G}_1\{n\}) \equiv \varepsilon(Dv\dot{F}_2\{n\}\dot{G}_2\{n\})$.

All SN terms are Typable

- finally able to follow Statman's line
- derivations are organized in segments

$$\frac{\Gamma \vdash X : F}{\Gamma \vdash X : G} (\forall_I), (Conv), (\forall_E)$$

that we can organize as

$$\frac{\frac{\Gamma \vdash X : F}{\Gamma \vdash X : G} (\forall_E)}{\frac{\Gamma \vdash X : G'}{\Gamma \vdash X : H} (\forall_I)}$$

we can merge two segments :

$$\frac{\Gamma_1 \vdash X : F'}{\Gamma_1 \vdash X : F} \text{ (seg)} \quad \frac{\Gamma_2 \vdash X : G'}{\Gamma_2 \vdash X : G} \text{ (seg)}$$

- * with some digging
- ★ into $\Gamma \vdash X : \varepsilon(Dv\dot{F}\{n\}\dot{G}\{n\})$
- we can also merge two derivations of the same term X

All SN terms are Typable, and conversely

- SN ⇒ typable :
 - of follow more or less Statman's way (with more explanations)
 - All terms in NF are typable
 - **3** if a reduct of a head β -redex is typable, so is the redex
 - if X is SN, it is typable
- typable ⇒ SN
 - ★ find the "worse reduction strategy", $F_{\infty}(M)$ (Barendregt)
 - prove that it terminates
 - ⋆ or use Reducibility Candidates? (cf. plain intersection types)

Conclusion

- we have intersection types in Deduction Modulo Theory
- no new connectives, etc
- rewrite rules instead
 - interesting confluence property
 - ★ regain "nice" properties and some extensionality: lot of plumbing
- further work
 - do we have a model with values on the reducibility candidates?
 - one technical detail to fix (variable renaming)