

Double Dose of Double-Negation Translations

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Double-Negation Translation: Five **Ws**

The theory:

- ▶ automatic theorem proving: classical logic
- ▶ other logics existing: need for translations
- ▶ in particular: proof-assistants
- ▶ related to the grounds:
 - ★ cut-elimination for sequent calculus
 - ★ extensions to Deduction Modulo

The practice:

- ▶ a shallow encoding of classical into intuitionistic logic
- ▶ Zenon modulo's backend for Dedukti



- ▶ existing translations: Kolmogorov's (1925), Gentzen-Gödel's (1933), Kuroda's (1951), Krivine's (1990), ...

Double-Negation Translation: Five **Ws**

Objective, **minimization**:

- ▶ turns more formulæ into themselves;
- ▶ shifts a classical proof into an intuitionistic proof of the *same* formula.

Today:

- ▶ first-order (classical) logic
- ▶ the principle of excluded-middle
- ▶ intuitionistic logic
- ▶ double-negation translations
- ▶ minimization
- ▶ **if** you're still alive:
 - ★ extension to Deduction modulo
 - ★ semantic Double-Negation translations
 - ★ cut elimination

Theorem Proving

What do we prove ?

[Definition] Formula in Propositional Logic

- ▶ atomic formula: P, Q, \dots
- ▶ special constants: \perp, \top
- ▶ assume A, B are formulæ: $A \wedge B, A \vee B, A \Rightarrow B, \neg A$

Example: $P \Rightarrow Q, P \wedge Q, Q \vee \neg Q, \perp \Rightarrow (\neg \perp), \dots$

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[Definition] Formula in First-order Logic

- ▶ atomic formula: $P(t), Q(t, u), \dots$
- ▶ connectives $\wedge, \vee, \Rightarrow, \neg, \perp, \top$
- ▶ quantifiers \forall and \exists . Assume A is a formula and x a variable: $\forall xA, \exists xA$

- ▶ new category: **terms** (denoted a, b, c, t, u) and variables (x, y).

Example: $f(x), g(f(c), g(a, c)), \dots$

- ▶ Example: $(\forall xP(x)) \Rightarrow P(f(a)), \exists y(D(y) \Rightarrow \forall xD(x))$

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assume A, B and C. Then D follows.

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A sequent is a set of formulæ A_1, \dots, A_n (the **assumptions**) denoted Γ , together with a formula B (the **conclusion**). Notation: $\Gamma \vdash B$

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- ▶ examples:
 - ★ $A \vdash A$ is a (hopefully provable) sequent
 - ★ $P(a) \vdash \forall xP(x)$ is a (hopefully unprovable) sequent
 - ★ $A, B \vdash A \wedge B, A \vdash, A \vdash \perp$

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 - ★ $A, B \vdash A \wedge B, A \vdash, A \vdash \perp$
- ▶ classical logic needs **multiconclusion** sequent

[Definition] Classical Sequent

A classical sequent is a pair of sets of formulæ, denoted $\Gamma \vdash \Delta$

- ★ the sequent $A, B \vdash C, D$ must be understood as: *Assume A **and** B. Then C **or** D*

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How do we prove ?

- ▶ we have the formulæ and the statements (sequents), let's **prove** them
- ▶ many proof systems (even for classical FOL)
- ▶ today: **sequent calculus** (Gentzen (1933))

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The shape of rules:

$$\frac{\text{premiss/antecedent} \quad \text{premiss/antecedent}}{\text{conclusion/consequent}}$$

↑ read this way, please

- ▶ in order for the consequent to hold ...
- ▶ ... we must show that the antecedent(s) hold

Endless process ?

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The axiom rule	The \Rightarrow_R rule
$\frac{}{A \vdash A} \text{ ax}$	$\frac{A \vdash B}{\vdash A \Rightarrow B} \Rightarrow_R$

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The real axiom rule	The real \Rightarrow_R rule
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The Classical Sequent Calculus (LK)

$$\frac{}{\Gamma, A \vdash A, \Delta} \text{ax}$$

$$\frac{\Gamma, A, B \vdash \Delta}{\Gamma, A \wedge B \vdash \Delta} \wedge_L$$

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$$\frac{\Gamma \vdash A, \Delta}{\Gamma, \neg A \vdash \Delta} \neg_L$$

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$$\frac{\Gamma, A[c/x] \vdash \Delta}{\Gamma, \exists x A \vdash \Delta} \exists_L$$

$$\frac{\Gamma \vdash A[t/x], \Delta}{\Gamma \vdash \exists x A, \Delta} \exists_R$$

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Basic Examples

- ▶ commutativity of the conjunction:

$$A \wedge B \vdash B \wedge A$$

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- ▶ this is an example of the **liberty** allowed by Sequent Calculus

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- ▶ this is an example of the **liberty** allowed by Sequent Calculus
- ▶ excluded-middle:

$$\frac{\frac{\overline{A \vdash A}}{\vdash A, \neg A} \text{ax}}{\vdash A \vee \neg A} \neg_R \vee_R$$

The Excluded Middle

[Theorem] Drinker's Principle

In every bar, there is a person that, if s/he drinks, then everybody drinks.

- paradoxical ? let's prove it:

$$\frac{\frac{\frac{\frac{D(t_0), D(x) \vdash D(x), \forall x D(x)}{\vdash D(t_0) \vdash D(x), D(x) \Rightarrow \forall x D(x)}{\vdash D(t_0) \vdash D(x), \exists y (D(y) \Rightarrow \forall x D(x))} \Rightarrow_R}{\vdash D(t_0) \vdash \forall x D(x), \exists y (D(y) \Rightarrow \forall x D(x))} \exists_R \text{ (with } x \text{ !)}}{\vdash D(t_0) \Rightarrow \forall x D(x), \exists y (D(y) \Rightarrow \forall x D(x))} \forall_R \text{ (} x \text{ fresh)}}{\vdash \exists y (D(y) \Rightarrow \forall x D(x), \exists y (D(y) \Rightarrow \forall x D(x))} \Rightarrow_R}{\vdash \exists y (D(y) \Rightarrow \forall x D(x))} \exists_R \text{ structural rule}$$

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- ▶ basically: **either** someone does not drink **or** everybody drinks.
- ▶ **not informative**:
 - ★ no constructive witness (the “best man”)
 - ★ “Fermat’s theorem is true” or not “Fermat’s theorem is true”

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- not informative**:
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 - ★ “Fermat’s theorem is true” or not “Fermat’s theorem is true”
- PEM ($A \vee \neg A$ **for free**) rejected by Brouwer, Heyting, Kolmogorov (and all the constructivists).
 - ★ bad also for the “proof-as-program” correspondence (Curry-Howard correspondence) until very recent advances ([control operators](#))

The Classical Sequent Calculus (LK)

$$\frac{}{\Gamma, A \vdash A, \Delta} \text{ax}$$

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The Intuitionistic Sequent Calculus (LJ)

$$\frac{}{\Gamma, A \vdash A} \text{ax}$$

$$\frac{\Gamma, A, B \vdash \Delta}{\Gamma, A \wedge B \vdash \Delta} \wedge_L$$

$$\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \wedge B} \wedge_R$$

$$\frac{\Gamma, A \vdash \Delta \quad \Gamma, B \vdash \Delta}{\Gamma, A \vee B \vdash \Delta} \vee_L$$

$$\frac{\Gamma \vdash A}{\Gamma \vdash A \vee B} \vee_{R1} \quad \frac{\Gamma \vdash B}{\Gamma \vdash A \vee B} \vee_{R2}$$

$$\frac{\Gamma \vdash A \quad \Gamma, B \vdash \Delta}{\Gamma, A \Rightarrow B \vdash \Delta} \Rightarrow_L$$

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Example of Proof

- ▶ commutativity of the disjunction. Attempt #2:

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- ▶ compare with proofs in classical logic:

$$\frac{\frac{\text{ax} \frac{}{B \vdash B, A}}{\vdash B, A} \quad \frac{\text{ax} \frac{}{A \vdash B, A}}{\vdash B, A}}{\vdash B \vee A} \vee_R \quad \frac{\text{ax} \frac{}{A \vee B \vdash B, A}}{\vdash B \vee A} \vee_L}{\vdash A \vee B \vee A} \vee_L$$

- ▶ in particular, no *intuitionistic* proof of $\vdash A \vee \neg A$: does it begins with \vee_{R1} , or with \vee_{R2} ?

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- ★ **Still controversial**: “*If you are not innocent, then you are guilty*”
- ★ **Exercises**: Show, in classical logic, that $\vdash A \Rightarrow (\neg\neg A)$ and $\vdash (\neg\neg A) \Rightarrow A$.
Harder: show $\vdash A \vee \neg A$ in intuitionistic logic + DN principle.

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Harder: show $\vdash A \vee \neg A$ in intuitionistic logic + DN principle.

- ▶ from an intuitionistic point of view, $\neg\neg B$ is **weaker** than B :

$$\frac{\frac{\frac{\frac{\frac{\frac{}{A \vdash A} \text{ ax}}{A \vdash A \vee \neg A} \vee_{R1}}{\neg(A \vee \neg A), A \vdash} \neg_L}{\neg(A \vee \neg A) \vdash \neg A} \neg_R}{\neg(A \vee \neg A) \vdash A \vee \neg A} \vee_{R2}}{\neg(A \vee \neg A), \neg(A \vee \neg A) \vdash} \neg_L}{\neg(A \vee \neg A) \vdash} \text{ structural rule}}{\vdash \neg\neg(A \vee \neg A)} \neg_R$$

The principle of excluded-middle is not inconsistent

Double-Negation Translations

This drives us to try to **systematically “weaken”** classical formulæ to turn them into intuitionistically provable formulæ: **Kolmogorov’s Translation**

$$\begin{aligned} P^{Ko} &= \neg\neg P && \text{(atoms)} \\ (B \wedge C)^{Ko} &= \neg\neg(B^{Ko} \wedge C^{Ko}) \\ (B \vee C)^{Ko} &= \neg\neg(B^{Ko} \vee C^{Ko}) \\ (B \Rightarrow C)^{Ko} &= \neg\neg(B^{Ko} \Rightarrow C^{Ko}) \\ (\forall xA)^{Ko} &= \neg\neg(\forall xA^{Ko}) \\ (\exists xA)^{Ko} &= \neg\neg(\exists xA^{Ko}) \end{aligned}$$

Theorem

$\Gamma \vdash \Delta$ is provable in LK iff $\Gamma^{Ko}, \lrcorner\Delta^{Ko} \vdash$ is provable in LJ.

Antinegation

\lrcorner is an operator, such that:

- ▶ $\lrcorner\neg A = A$;
- ▶ $\lrcorner B = \neg B$ otherwise.

How does it work ?

Let us turn a (classical) proof of into a proof of its translation:

$$\begin{array}{ccc} \text{ax} \frac{}{A \vdash A} & \longleftrightarrow & \frac{\frac{\frac{\text{ax}}{\neg A \vdash \neg A}}{\neg\neg A, \neg A \vdash}}{\neg\neg A \vdash \neg\neg A}}{\vdash (\neg\neg A) \Rightarrow (\neg\neg A)} \Rightarrow_R \\ \Rightarrow_R \frac{}{\vdash A \Rightarrow A} & & \frac{\vdash (\neg\neg A) \Rightarrow (\neg\neg A)}{\neg((\neg\neg A) \Rightarrow (\neg\neg A)) \vdash} \neg_L \end{array}$$

Negation is bouncing:

- ▶ systematically: go from **left to right**, apply the **same rule**, and go from **right to left**

How does it work ?

Let us turn a (classical) proof of into a proof of its translation:

$$\begin{array}{ccc}
 \text{ax} \frac{}{A \vdash A} & \longleftrightarrow & \frac{\frac{\frac{}{\neg A \vdash \neg A} \text{ax}}{\neg\neg A, \neg A \vdash} \neg L}{\neg\neg A \vdash \neg\neg A} \neg R}{\vdash (\neg\neg A) \Rightarrow (\neg\neg A)} \Rightarrow R \\
 \Rightarrow R \frac{}{\vdash A \Rightarrow A} & \longleftrightarrow & \frac{\vdash (\neg\neg A) \Rightarrow (\neg\neg A)}{\neg((\neg\neg A) \Rightarrow (\neg\neg A)) \vdash} \neg L
 \end{array}$$

Negation is bouncing:

- ▶ systematically: go from **left to right**, apply the **same rule**, and go from **right to left**
- ▶ many double negations are superfluous: in the previous case, almost each of them (not hard to see that $\vdash A \Rightarrow A$ has an intuitionistic proof)

How does it work ?

Let us turn a (classical) proof into a proof of its translation:

$$\begin{array}{ccc} \text{ax} \text{ —————} & & \text{ax} \\ & & \frac{\text{---}A \vdash \text{---}A}{\text{---}A, \text{---}A \vdash} \neg^L \\ & & \frac{\text{---}A, \text{---}A \vdash}{\text{---}A \vdash \text{---}A} \neg^R \\ \Rightarrow_R \text{ —————} & \longleftrightarrow & \frac{\text{---}A \vdash \text{---}A}{\vdash (\text{---}A) \Rightarrow (\text{---}A)} \Rightarrow_R \\ \vdash A \Rightarrow A & \longleftrightarrow & \frac{\vdash (\text{---}A) \Rightarrow (\text{---}A)}{\text{---}((\text{---}A) \Rightarrow (\text{---}A)) \vdash} \neg^L \end{array}$$

Negation is bouncing:

- ▶ systematically: go from **left to right**, apply the **same rule**, and go from **right to left**
- ▶ many double negations are superfluous: in the previous case, almost each of them (not hard to see that $\vdash A \Rightarrow A$ has an intuitionistic proof)
- ▶ **Congratulations !** This is the topic of this talk

The Problem

Have the least possible $\neg\neg$ in the translated formula.

- ▶ what do we gain ? We **preserve the strength** of theorems.

Remarks on LK and LJ

- ▶ left-rules seem **very** similar in both cases
- ▶ so, lhs formulæ can be translated by themselves
- ▶ this accounts for **polarizing** the translations

Positive and Negative occurrences

- ▶ An occurrence of A in B is positive if:
 - ★ $B = A$
 - ★ $B = C \star D$ [$\star = \wedge, \vee$] and the occurrence of A is in C or in D and positive
 - ★ $B = C \Rightarrow D$ and the occurrence of A is in C (resp. in D) and negative (resp. positive)
 - ★ $B = Qx C$ [$Q = \forall, \exists$] and the occurrence of A is in C and is positive
- ▶ Dually for negative occurrences.

The Classical Sequent Calculus (LK)

$$\frac{}{\Gamma, A \vdash A, \Delta} \text{ax}$$

$$\frac{\Gamma, A, B \vdash \Delta}{\Gamma, A \wedge B \vdash \Delta} \wedge_L$$

$$\frac{\Gamma \vdash A, \Delta \quad \Gamma \vdash B, \Delta}{\Gamma \vdash A \wedge B, \Delta} \wedge_R$$

$$\frac{\Gamma, A \vdash \Delta \quad \Gamma, B \vdash \Delta}{\Gamma, A \vee B \vdash \Delta} \vee_L$$

$$\frac{\Gamma \vdash A, B, \Delta}{\Gamma \vdash A \vee B, \Delta} \vee_R$$

$$\frac{\Gamma \vdash A, \Delta \quad \Gamma, B \vdash \Delta}{\Gamma, A \Rightarrow B \vdash \Delta} \Rightarrow_L$$

$$\frac{\Gamma, A \vdash B, \Delta}{\Gamma \vdash A \Rightarrow B, \Delta} \Rightarrow_R$$

$$\frac{\Gamma \vdash A, \Delta}{\Gamma, \neg A \vdash \Delta} \neg_L$$

$$\frac{\Gamma, A \vdash \Delta}{\Gamma \vdash \neg A, \Delta} \neg_R$$

$$\frac{\Gamma, A[c/x] \vdash \Delta}{\Gamma, \exists x A \vdash \Delta} \exists_L$$

$$\frac{\Gamma \vdash A[t/x], \Delta}{\Gamma \vdash \exists x A, \Delta} \exists_R$$

$$\frac{\Gamma, A[t/x] \vdash \Delta}{\Gamma, \forall x A \vdash \Delta} \forall_L$$

$$\frac{\Gamma \vdash A[c/x], \Delta}{\Gamma \vdash \forall x A, \Delta} \forall_R$$

The Intuitionistic Sequent Calculus (LJ)

$$\frac{}{\Gamma, A \vdash A} \text{ax}$$

$$\frac{\Gamma, A, B \vdash \Delta}{\Gamma, A \wedge B \vdash \Delta} \wedge_L$$

$$\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \wedge B} \wedge_R$$

$$\frac{\Gamma, A \vdash \Delta \quad \Gamma, B \vdash \Delta}{\Gamma, A \vee B \vdash \Delta} \vee_L$$

$$\frac{\Gamma \vdash A}{\Gamma \vdash A \vee B} \vee_{R1} \quad \frac{\Gamma \vdash B}{\Gamma \vdash A \vee B} \vee_{R2}$$

$$\frac{\Gamma \vdash A \quad \Gamma, B \vdash \Delta}{\Gamma, A \Rightarrow B \vdash \Delta} \Rightarrow_L$$

$$\frac{\Gamma, A \vdash B}{\Gamma \vdash A \Rightarrow B} \Rightarrow_R$$

$$\frac{\Gamma \vdash A}{\Gamma, \neg A \vdash \Delta} \neg_L$$

$$\frac{\Gamma, A \vdash}{\Gamma \vdash \neg A} \neg_R$$

$$\frac{\Gamma, A[c/x] \vdash \Delta}{\Gamma, \exists x A \vdash \Delta} \exists_L$$

$$\frac{\Gamma \vdash A[t/x]}{\Gamma \vdash \exists x A} \exists_R$$

$$\frac{\Gamma, A[t/x] \vdash \Delta}{\Gamma, \forall x A \vdash \Delta} \forall_L$$

$$\frac{\Gamma \vdash A[c/x]}{\Gamma \vdash \forall x A} \forall_R$$

Light Kolmogorov's Translation

Moving negation from connectives to formulæ [DowekWerner]:

$$\begin{aligned} B^K &= B && \text{(atoms)} \\ (B \wedge C)^K &= (\neg\neg B^K \wedge \neg\neg C^K) \\ (B \vee C)^K &= (\neg\neg B^K \vee \neg\neg C^K) \\ (B \Rightarrow C)^K &= (\neg\neg B^K \Rightarrow \neg\neg C^K) \\ (\forall x A)^K &= \forall x \neg\neg A^K \\ (\exists x A)^K &= \exists x \neg\neg A^K \end{aligned}$$

Theorem

$\Gamma \vdash \Delta$ is provable in LK iff $\Gamma^K, \neg\neg\Delta^K \vdash$ is provable in LJ.

Correspondence

$$A^{Ko} = \neg\neg A^K$$

Polarizing Light Kolmogorov's translation

Warming-up. Consider left-hand and right-hand side formulæ:

	LHS		RHS
	$B^K = B$		$B^K = B$
	$(B \wedge C)^K = (\neg\neg B^K \wedge \neg\neg C^K)$		$(B \wedge C)^K = (\neg\neg B^K \wedge \neg\neg C^K)$
	$(B \vee C)^K = (\neg\neg B^K \vee \neg\neg C^K)$		$(B \vee C)^K = (\neg\neg B^K \vee \neg\neg C^K)$
	$(B \Rightarrow C)^K = (\neg\neg B^K \Rightarrow \neg\neg C^K)$		$(B \Rightarrow C)^K = (\neg\neg B^K \Rightarrow \neg\neg C^K)$
	$(\forall xA)^K = \forall x\neg\neg A^K$		$(\forall xA)^K = \forall x\neg\neg A^K$
	$(\exists xA)^K = \exists x\neg\neg A^K$		$(\exists xA)^K = \exists x\neg\neg A^K$

Example of translation

$$((A \vee B) \Rightarrow C)^K \text{ is } \neg\neg(\neg\neg A \vee \neg\neg B) \Rightarrow \neg\neg C$$

$$((A \vee B) \Rightarrow C)^K \text{ is } \neg\neg(\neg\neg A \vee \neg\neg B) \Rightarrow \neg\neg C$$

Polarizing Light Kolmogorov's Translation

Warming-up. Consider left-hand and right-hand side formulæ:

LHS	RHS
$B^{K+} = B$	$B^{K-} = B$
$(B \wedge C)^{K+} = (B^{K+} \wedge C^{K+})$	$(B \wedge C)^{K-} = (\neg\neg B^{K-} \wedge \neg\neg C^{K-})$
$(B \vee C)^{K+} = (B^{K+} \vee C^{K+})$	$(B \vee C)^{K-} = (\neg\neg B^{K-} \vee \neg\neg C^{K-})$
$(B \Rightarrow C)^{K+} = (\neg\neg B^{K-} \Rightarrow C^{K+})$	$(B \Rightarrow C)^{K-} = (B^{K+} \Rightarrow \neg\neg C^{K-})$
$(\forall xA)^{K+} = \forall xA^{K+}$	$(\forall xA)^{K-} = \forall x\neg\neg A^{K-}$
$(\exists xA)^{K+} = \exists xA^{K+}$	$(\exists xA)^{K-} = \exists x\neg\neg A^{K-}$

Example of translation

$((A \vee B) \Rightarrow C)^{K+}$ is $\neg\neg(\neg\neg A \vee \neg\neg B) \Rightarrow C$

$((A \vee B) \Rightarrow C)^{K-}$ is $(A \vee B) \Rightarrow \neg\neg C$

Results on Polarized Kolmogorov's Translation

Theorem

If $\Gamma \vdash \Delta$ is provable in LK, then $\Gamma^{K+}, \neg\Delta^{K-} \vdash$ is provable in LJ.

Proof: by induction. Negation is still bouncing. Example:

$$\wedge_R \frac{\frac{\pi_1}{\Gamma \vdash A, \Delta} \quad \frac{\pi_2}{\Gamma \vdash B, \Delta}}{\Gamma \vdash A \wedge B, \Delta}$$

is turned into:

$$\frac{\frac{\pi'_1}{\Gamma^{K+}, \neg A^{K-}, \neg\Delta^{K-} \vdash} \quad \frac{\pi'_2}{\Gamma^{K+}, \neg B^{K-}, \neg\Delta^{K-} \vdash}}{=} \wedge_R$$

$$\Gamma^{K+}, \neg(\neg\neg A^{K-} \wedge \neg\neg B^{K-}), \neg\Delta^{K-} \vdash$$

Results on Polarized Kolmogorov's Translation

Theorem

If $\Gamma \vdash \Delta$ is provable in LK, then $\Gamma^{K+}, \neg\Delta^{K-} \vdash$ is provable in LJ.

Proof: by induction. Negation is still bouncing. Example:

$$\wedge_R \frac{\frac{\pi_1}{\Gamma \vdash A, \Delta} \quad \frac{\pi_2}{\Gamma \vdash B, \Delta}}{\Gamma \vdash A \wedge B, \Delta}$$

is turned into:

$$\frac{\frac{\pi'_1}{\Gamma^{K+}, \neg A^{K-}, \neg\Delta^{K-} \vdash} \quad \frac{\pi'_2}{\Gamma^{K+}, \neg B^{K-}, \neg\Delta^{K-} \vdash}}{\Gamma^{K+}, \neg(\neg\neg A^{K-} \wedge \neg\neg B^{K-}), \neg\Delta^{K-} \vdash} \wedge_R$$

$$\neg_L$$

Results on Polarized Kolmogorov's Translation

Theorem

If $\Gamma \vdash \Delta$ is provable in LK, then $\Gamma^{K+}, \neg\Delta^{K-} \vdash$ is provable in LJ.

Proof: by induction. Negation is still bouncing. Example:

$$\wedge_R \frac{\frac{\pi_1}{\Gamma \vdash A, \Delta} \quad \frac{\pi_2}{\Gamma \vdash B, \Delta}}{\Gamma \vdash A \wedge B, \Delta}$$

is turned into:

$$\neg_L \frac{\frac{\frac{\pi'_1}{\Gamma^{K+}, \neg A^{K-}, \neg\Delta^{K-} \vdash} \quad \frac{\pi'_2}{\Gamma^{K+}, \neg B^{K-}, \neg\Delta^{K-} \vdash}}{\Gamma^{K+}, \neg\Delta^{K-} \vdash \neg\neg A^{K-}} \quad \Gamma^{K+}, \neg\Delta^{K-} \vdash \neg\neg B^{K-}}{\Gamma^{K+}, \neg\Delta^{K-} \vdash \neg\neg A^{K-} \wedge \neg\neg B^{K-}} \wedge_R}{\Gamma^{K+}, \neg(\neg\neg A^{K-} \wedge \neg\neg B^{K-}), \neg\Delta^{K-} \vdash} \neg_L$$

Results on Polarized Kolmogorov's Translation

Theorem

If $\Gamma \vdash \Delta$ is provable in LK, then $\Gamma^{K+}, \neg\Delta^{K-} \vdash$ is provable in LJ.

Proof: by induction. Negation is still bouncing. Example:

$$\wedge_R \frac{\frac{\pi_1}{\Gamma \vdash A, \Delta} \quad \frac{\pi_2}{\Gamma \vdash B, \Delta}}{\Gamma \vdash A \wedge B, \Delta}$$

is turned into:

$$\neg_R \frac{\frac{\pi'_1}{\Gamma^{K+}, \neg A^{K-}, \neg\Delta^{K-} \vdash} \quad \frac{\pi'_2}{\Gamma^{K+}, \neg B^{K-}, \neg\Delta^{K-} \vdash}}{\Gamma^{K+}, \neg\Delta^{K-} \vdash \neg\neg A^{K-} \quad \Gamma^{K+}, \neg\Delta^{K-} \vdash \neg\neg B^{K-}} \neg_R}{\Gamma^{K+}, \neg\Delta^{K-} \vdash \neg\neg A^{K-} \wedge \neg\neg B^{K-}} \wedge_R}{\Gamma^{K+}, \neg(\neg\neg A^{K-} \wedge \neg\neg B^{K-}), \neg\Delta^{K-} \vdash} \neg_L$$

Results on Polarized Kolmogorov's Translation

Theorem

If $\Gamma \vdash \Delta$ is provable in LK, then $\Gamma^{K+}, \neg\Delta^{K-} \vdash$ is provable in LJ.

Proof: by induction. Negation is bouncing. Example:

$$\begin{array}{c}
 \frac{\pi_1}{\Gamma \vdash A, \Delta} \quad \frac{\pi_2}{\Gamma \vdash B, \Delta} \\
 \hline
 \Gamma \vdash A \wedge B, \Delta
 \end{array}
 \quad \text{becomes} \quad
 \begin{array}{c}
 \frac{\pi'_1}{\Gamma^{K+}, \neg A^{K-}, \neg\Delta^{K-} \vdash} \quad \frac{\pi'_2}{\Gamma^{K+}, \neg B^{K-}, \neg\Delta^{K-} \vdash} \\
 \hline
 \Gamma^{K+}, \neg\Delta^{K-} \vdash \neg\neg A^{K-} \wedge \neg\neg B^{K-} \\
 \hline
 \Gamma^{K+}, \neg(\neg\neg A^{K-} \wedge \neg\neg B^{K-}), \neg\Delta^{K-} \vdash
 \end{array}$$

Theorem

If $\Gamma^{K+}, \neg\Delta^{K-} \vdash$ is provable in LJ, then $\Gamma \vdash \Delta$ is provable in LK.

Proof: ad-hoc generalization.

Gödel-Gentzen Translation

Disjunctions and **existential quantifiers** (the only problematic ones) are replaced by their **De Morgan duals**:

LHS	RHS
$B^{gg} = \neg\neg B$	$B^{gg} = \neg\neg B$
$(A \wedge B)^{gg} = A^{gg} \wedge B^{gg}$	$(A \wedge B)^{gg} = A^{gg} \wedge B^{gg}$
$(A \vee B)^{gg} = \neg(\neg A^{gg} \wedge \neg B^{gg})$	$(A \vee B)^{gg} = \neg(\neg A^{gg} \wedge \neg B^{gg})$
$(A \Rightarrow B)^{gg} = A^{gg} \Rightarrow B^{gg}$	$(A \Rightarrow B)^{gg} = A^{gg} \Rightarrow B^{gg}$
$(\forall x A)^{gg} = \forall x A^{gg}$	$(\forall x A)^{gg} = \forall x A^{gg}$
$(\exists x A)^{gg} = \neg \forall x \neg A^{gg}$	$(\exists x A)^{gg} = \neg \forall x \neg A^{gg}$

Example of translation

$((A \vee B) \Rightarrow C)^{gg}$ is $(\neg(\neg\neg\neg A \wedge \neg\neg\neg B)) \Rightarrow \neg\neg C$

Theorem

$\Gamma \vdash \Delta$ is provable in LK iff $\Gamma^{gg}, \lrcorner \Delta^{gg} \vdash$ is provable in LJ.

Polarizing Gödel-Gentzen translation

Let us apply the same idea on this translation:

	LHS		RHS				
	B^p	=	B		B^n	=	$\neg\neg B$
	$(B \wedge C)^p$	=	$B^p \wedge C^p$		$(B \wedge C)^n$	=	$B^n \wedge C^n$
	$(B \vee C)^p$	=	$B^p \vee C^p$		$(B \vee C)^n$	=	$\neg(\neg B^n \wedge \neg C^n)$
	$(B \Rightarrow C)^p$	=	$B^n \Rightarrow C^p$		$(B \Rightarrow C)^n$	=	$B^p \Rightarrow C^n$
	$(\forall xB)^p$	=	$\forall xB^p$		$(\forall xB)^n$	=	$\forall xB^n$
	$(\exists xB)^p$	=	$\exists xB^p$		$(\exists xB)^n$	=	$\neg\forall x\neg B^n$

Example of translation

$((A \vee B) \Rightarrow C)^p$ is $(\neg(\neg\neg\neg A \wedge \neg\neg\neg B)) \Rightarrow C$

$((A \vee B) \Rightarrow C)^n$ is $((A \vee B) \Rightarrow \neg\neg C$

Theorem ?

$\Gamma \vdash \Delta$ is provable in LK iff $\Gamma^{gg}, \lrcorner\Delta^{gg} \vdash$ is provable in LJ.

A Focus on LK \rightarrow LJ

- ▶ less negations imposes more discipline. Example:

$$\begin{array}{c}
 \frac{\pi_1}{\Gamma \vdash A, \Delta} \quad \frac{\pi_2}{\Gamma \vdash B, \Delta} \\
 \hline
 \Gamma \vdash A \wedge B, \Delta
 \end{array}
 \quad \text{becomes} \quad
 \frac{
 \frac{
 \frac{\pi'_1}{\Gamma^p, \lrcorner A^n, \lrcorner \Delta^n \vdash} \quad \frac{\pi'_2}{\Gamma^p, \lrcorner B^n, \lrcorner \Delta^n \vdash}
 }{\Gamma^p, \lrcorner \Delta^n \vdash A^n \wedge B^n}
 }{\Gamma^p, \lrcorner \Delta^n \vdash A^n}
 }{\Gamma^p, \lrcorner (A^n \wedge B^n), \lrcorner \Delta^n \vdash}$$

- ▶ when A^n introduces negations (\exists, \forall, \neg and atomic cases) $??$ can be \neg_R due to the behavior of $\lrcorner A^n$
- ▶ otherwise A^n remains of the rhs in the LJ proof.

A Focus on LK \rightarrow LJ

- ▶ less negations imposes more discipline. Example:

$$\begin{array}{c}
 \frac{\pi_1}{\Gamma \vdash A, \Delta} \quad \frac{\pi_2}{\Gamma \vdash B, \Delta} \\
 \hline
 \Gamma \vdash A \wedge B, \Delta \\
 \wedge_R
 \end{array}
 \quad \text{becomes} \quad
 \begin{array}{c}
 \frac{\pi'_1}{\Gamma^p, \lrcorner A^n, \lrcorner \Delta^n \vdash} \quad \frac{\pi'_2}{\Gamma^p, \lrcorner B^n, \lrcorner \Delta^n \vdash} \\
 \hline
 \Gamma^p, \lrcorner \Delta^n \vdash A^n \quad \Gamma^p, \lrcorner \Delta^n \vdash B^n \\
 \hline
 \Gamma^p, \lrcorner \Delta^n \vdash A^n \wedge B^n \\
 \neg_L \\
 \hline
 \Gamma^p, \lrcorner (A^n \wedge B^n), \lrcorner \Delta^n \vdash \\
 \wedge_R
 \end{array}$$

- ▶ when A^n introduces negations (\exists, \forall, \neg and atomic cases) $??$ can be \neg_R due to the behavior of $\lrcorner A^n$
- ▶ otherwise A^n remains of the rhs in the LJ proof.
- ▶ the next rule in π_1 and π_2 must be on A (resp. B).

A Focus on LK \rightarrow LJ

- ▶ less negations imposes more discipline. Example:

$$\begin{array}{c}
 \frac{\pi_1}{\Gamma \vdash A, \Delta} \quad \frac{\pi_2}{\Gamma \vdash B, \Delta} \\
 \hline
 \wedge_R \frac{}{\Gamma \vdash A \wedge B, \Delta}
 \end{array}
 \quad \text{becomes} \quad
 \frac{
 \frac{\pi'_1}{\Gamma^p, \lrcorner A^n, \lrcorner \Delta^n \vdash} \quad \frac{\pi'_2}{\Gamma^p, \lrcorner B^n, \lrcorner \Delta^n \vdash}
 }{
 \frac{
 \frac{\Gamma^p, \lrcorner \Delta^n \vdash A^n}{\Gamma^p, \lrcorner \Delta^n \vdash B^n}
 }{
 \lrcorner_L \frac{\Gamma^p, \lrcorner \Delta^n \vdash A^n \wedge B^n}{\Gamma^p, \lrcorner (A^n \wedge B^n), \lrcorner \Delta^n \vdash}
 }
 }{
 \Gamma^p, \lrcorner (A^n \wedge B^n), \lrcorner \Delta^n \vdash
 }
 }
 \wedge_R$$

- ▶ when A^n introduces negations (\exists , \forall , \lrcorner and atomic cases) $??$ can be \lrcorner_R due to the behavior of $\lrcorner A^n$
- ▶ otherwise A^n remains of the rhs in the LJ proof.
- ▶ the next rule in π_1 and π_2 must be on A (resp. B).
- ▶ the liberty of sequent calculus is a sin! How to constrain it ?
- ▶ use Kleene's inversion lemma
- ▶ or ... this is exactly what focusing is about !

A Focused Classical Sequent Calculus

Sequent with focus

A focused sequent $\Gamma \vdash A; \Delta$ has three parts:

- ▶ Γ and Δ
- ▶ A , the (possibly empty) **stoup formula**

$$\Gamma \vdash \underbrace{\quad \cdot \quad}_{\text{stoup}}; \Delta$$

- ▶ when the stoup is not empty, the next rule must apply on its formula,
- ▶ under some conditions, it is possible to move/remove a formula in/from the stoup.

A Focused Sequent Calculus

$$\frac{}{\Gamma, A \vdash . ; A, \Delta} \text{ax}$$

$$\frac{\Gamma, A, B \vdash . ; \Delta}{\Gamma, A \wedge B \vdash . ; \Delta} \wedge_L$$

$$\frac{\Gamma \vdash A ; \Delta \quad \Gamma \vdash B ; \Delta}{\Gamma \vdash A \wedge B ; \Delta} \wedge_R$$

$$\frac{\Gamma, A \vdash . ; \Delta \quad \Gamma, B \vdash . ; \Delta}{\Gamma, A \vee B \vdash . ; \Delta} \vee_L$$

$$\frac{\Gamma \vdash . ; A, B, \Delta}{\Gamma \vdash . ; A \vee B, \Delta} \vee_R$$

$$\frac{\Gamma \vdash A ; \Delta \quad \Gamma, B \vdash . ; \Delta}{\Gamma, A \Rightarrow B \vdash . ; \Delta} \Rightarrow_L$$

$$\frac{\Gamma, A \vdash B ; \Delta}{\Gamma \vdash A \Rightarrow B ; \Delta} \Rightarrow_R$$

$$\frac{\Gamma, A[c/x] \vdash . ; \Delta}{\Gamma, \exists x A \vdash . ; \Delta} \exists_L$$

$$\frac{\Gamma \vdash . ; A[t/x], \Delta}{\Gamma \vdash . ; \exists x A, \Delta} \exists_R$$

$$\frac{\Gamma, A[t/x] \vdash . ; \Delta}{\Gamma, \forall x A \vdash . ; \Delta} \forall_L$$

$$\frac{\Gamma \vdash A[c/x] ; \Delta}{\Gamma \vdash \forall x A ; \Delta} \forall_R$$

$$\frac{\Gamma \vdash A ; \Delta}{\Gamma \vdash . ; A, \Delta} \text{focus}$$

$$\frac{\Gamma \vdash . ; A, \Delta}{\Gamma \vdash A ; \Delta} \text{release}$$

A Focused Sequent Calculus

$$\frac{\Gamma \vdash A ; \Delta}{\Gamma \vdash . ; A, \Delta} \text{focus} \quad \frac{\Gamma \vdash . ; A, \Delta}{\Gamma \vdash A ; \Delta} \text{release}$$

Characteristics:

- ▶ in **release**, A is either atomic or of the form $\exists xB, B \vee C$ or $\neg B$;
- ▶ in **focus**, the converse holds: A must not be atomic, nor of the form $\exists xB, B \vee C$ nor $\neg B$.
- ▶ the *synchronous* (outside the stoup) right-rules are $\exists_R, \neg_R, \vee_R$ and (atomic) axiom: the exact places where $\{.\}^n$ introduces negation

Theorem

If $\Gamma \vdash \Delta$ is provable in LK then $\Gamma \vdash . ; \Delta$ is provable.

Proof: use Kleene's inversion lemma (holds for all connectives/quantifiers, except \exists_R and \forall_L).

Translating Focused Proofs in LJ

$$\frac{\Gamma \vdash A ; \Delta}{\Gamma \vdash . ; A, \Delta} \text{focus} \quad \frac{\Gamma \vdash . ; A, \Delta}{\Gamma \vdash A ; \Delta} \text{release}$$

Theorem

If $\Gamma \vdash A ; \Delta$ in focused LK, then $\Gamma^p, \lrcorner \Delta^n \vdash A^n$ in LJ

- ▶ **release** is translated by the \neg_R rule
- ▶ **focus** is translated by the \neg_L rule

Translating Focused Proofs in LJ

$$\frac{\Gamma \vdash A ; \Delta}{\Gamma \vdash . ; A, \Delta} \text{focus} \quad \frac{\Gamma \vdash . ; A, \Delta}{\Gamma \vdash A ; \Delta} \text{release}$$

Theorem

If $\Gamma \vdash A ; \Delta$ in focused LK, then $\Gamma^p, \lrcorner \Delta^n \vdash A^n$ in LJ

- ▶ **release** is translated by the \neg_R rule
- ▶ **focus** is translated by the \neg_L rule
- ▶ $\lrcorner \Delta^n$ removes the trailing negation on \exists^n ($\neg \forall \neg$), \forall^n ($\neg \wedge \neg$), \neg^n (\neg) and atoms ($\neg \neg$)
- ▶ what a surprise: focus is forbidden on them, so rule on the lhs:

LK rule	\exists_R	\neg_R	\forall_R	ax.
LJ rule	\forall_L	nop	\wedge_L	\neg_L + ax.

Going further: Kuroda's translation

Originating from Glivenko's remark for **propositional logic**:

Theorem [Glivenko]

if $\vdash A$ in LK, then $\vdash \neg\neg A$ in LJ.

Kuroda's $\neg\neg$ -translation:

$$\begin{aligned} B^{Ku} &= B && \text{(atoms)} \\ (B \wedge C)^{Ku} &= B^{Ku} \wedge C^{Ku} \\ (B \vee C)^{Ku} &= B^{Ku} \vee C^{Ku} \\ (B \Rightarrow C)^{Ku} &= B^{Ku} \Rightarrow C^{Ku} \\ (\forall x A)^{Ku} &= \forall x \neg\neg A^{Ku} \\ (\exists x A)^{Ku} &= \exists x A^{Ku} \end{aligned}$$

Theorem [Kuroda]

$\Gamma \vdash \Delta$ in LK iff $\Gamma^{Ku}, \neg\Delta^{Ku} \vdash$ in LJ.

- ▶ **restarts** double-negation everytime we pass a universal quantifier.

Combining Kuroda's and Gentzen-Gödel's translations

- ▶ work of Frédéric Gilbert (2013), who noticed:

① Kuroda's translation of $\forall x\forall yA$

$\forall x\neg\neg\forall y\neg\neg A$ can be simplified: $\forall x\forall y\neg\neg A$

② $\neg\neg A$ itself can be treated *à la* Gentzen-Gödel

③ and of course with polarization

Reminder:

Gödel-Gentzen	Kuroda
$\varphi(P) = \neg\neg P$	$\psi(P) = P$
$\varphi(A \wedge B) = \varphi(A) \wedge \varphi(B)$	$\psi(A \wedge B) = \psi(A) \wedge \psi(B)$
$\varphi(A \vee B) = \neg\neg(\varphi(A) \vee \varphi(B))$	$\psi(A \vee B) = \psi(A) \vee \psi(B)$
$\varphi(A \Rightarrow B) = \varphi(A) \Rightarrow \varphi(B)$	$\psi(A \Rightarrow B) = \psi(A) \Rightarrow \psi(B)$
$\varphi(\exists xA) = \neg\neg\exists x\varphi(A)$	$\psi(\exists xA) = \exists x\psi(A)$
$\varphi(\forall xA) = \forall x\varphi(A)$	$\psi(\forall xA) = \forall x\neg\neg\psi(A)$

Combining Kuroda's and Gentzen-Gödel's translations

- ▶ How does it work ?

GG

$$\begin{aligned}\varphi(P) &= \neg\neg P \\ \varphi(A \wedge B) &= \varphi(A) \wedge \varphi(B) \\ \varphi(A \vee B) &= \neg\neg(\varphi(A) \vee \varphi(B)) \\ \varphi(A \Rightarrow B) &= \varphi(A) \Rightarrow \varphi(B) \\ \varphi(\exists xA) &= \neg\neg\exists x\varphi(A) \\ \varphi(\forall xA) &= \forall x\varphi(A)\end{aligned}$$

Kuroda

$$\begin{aligned}\psi(P) &= P \\ \psi(A \wedge B) &= \psi(A) \wedge \psi(B) \\ \psi(A \vee B) &= \psi(A) \vee \psi(B) \\ \psi(A \Rightarrow B) &= \psi(A) \Rightarrow \psi(B) \\ \psi(\exists xA) &= \exists x\psi(A) \\ \psi(\forall xA) &= \forall x\neg\neg\psi(A)\end{aligned}$$

Combining Kuroda's and Gentzen-Gödel's translations

- ▶ How does it work ?

<i>RHS</i>	<i>LHS</i>	<i>Kuroda</i>
$\varphi(P) = \neg\neg P$	$\chi(P) = P$	$\psi(P) = P$
$\varphi(A \wedge B) = \varphi(A) \wedge \varphi(B)$	$\chi(A \wedge B) = \chi(A) \wedge \chi(B)$	$\psi(A \wedge B) = \psi(A) \wedge \psi(B)$
$\varphi(A \vee B) = \neg\neg\psi(A) \vee \psi(B)$	$\chi(A \vee B) = \chi(A) \vee \chi(B)$	$\psi(A \vee B) = \psi(A) \vee \psi(B)$
$\varphi(A \Rightarrow B) = \chi(A) \Rightarrow \varphi(B)$	$\chi(A \Rightarrow B) = \psi(A) \Rightarrow \chi(B)$	$\psi(A \Rightarrow B) = \chi(A) \Rightarrow \psi(B)$
$\varphi(\exists xA) = \neg\neg\exists x\psi(A)$	$\chi(\exists xA) = \exists x\chi(A)$	$\psi(\exists xA) = \exists x\psi(A)$
$\varphi(\forall xA) = \forall x\varphi(A)$	$\chi(\forall xA) = \forall x\chi(A)$	$\psi(\forall xA) = \forall x\varphi(A)$

- ▶ How to prove that ? Refine focusing into **phases**.

Example of translation

$\chi((A \vee B) \Rightarrow C)$ is $(A \vee B) \Rightarrow C$

$\varphi((A \vee B) \Rightarrow C)$ is $(A \vee B) \Rightarrow \neg\neg C$

$$\frac{}{\Gamma, A \vdash \cdot; A, \Delta} \text{ax}$$

$$\frac{\Gamma, A, B \vdash \cdot; \Delta}{\Gamma, A \wedge B \vdash \cdot; \Delta} \wedge_L$$

$$\frac{\Gamma \vdash A; \Delta \quad \Gamma \vdash B; \Delta}{\Gamma \vdash A \wedge B; \Delta} \wedge_R$$

$$\frac{\Gamma, A \vdash \cdot; \Delta \quad \Gamma, B \vdash \cdot; \Delta}{\Gamma, A \vee B \vdash \cdot; \Delta} \vee_L$$

$$\frac{\Gamma \vdash \cdot; A, B, \Delta}{\Gamma \vdash \cdot; A \vee B, \Delta} \vee_R$$

$$\frac{\Gamma \vdash A; \Delta \quad \Gamma, B \vdash \cdot; \Delta}{\Gamma, A \Rightarrow B \vdash \cdot; \Delta} \Rightarrow_L$$

$$\frac{\Gamma, A \vdash B; \Delta}{\Gamma \vdash A \Rightarrow B; \Delta} \Rightarrow_R$$

$$\frac{\Gamma, A[c/x] \vdash \cdot; \Delta}{\Gamma, \exists x A \vdash \cdot; \Delta} \exists_L$$

$$\frac{\Gamma \vdash \cdot; A[t/x], \Delta}{\Gamma \vdash \cdot; \exists x A, \Delta} \exists_R$$

$$\frac{\Gamma, A[t/x] \vdash \cdot; \Delta}{\Gamma, \forall x A \vdash \cdot; \Delta} \forall_L$$

$$\frac{\Gamma \vdash A[c/x]; \Delta}{\Gamma \vdash \forall x A; \Delta} \forall_R$$

$$\frac{\Gamma \vdash A; \Delta}{\Gamma \vdash \cdot; A, \Delta} \text{focus}$$

$$\frac{\Gamma \vdash \cdot; A, \Delta}{\Gamma \vdash A; \Delta} \text{release}$$

Results

Theorem [Gilbert]

if $\Gamma_0, \neg\Gamma_1 \vdash A; \Delta$ in $LK_{\uparrow\downarrow}$ then $\chi(\Gamma_0), \neg\psi(\Gamma_1), \neg\psi(\Delta) \vdash \varphi(A)$ in LJ.

Theorem [Gilbert]

$A \mapsto \varphi(A)$ is minimal among the $\neg\neg$ -translations.

- ▶ 58% of Zenon's modulo proofs are secretly constructive
- ▶ polarizing the translation of rewrite rules in Deduction modulo:
 - ★ problem with cut elimination: a rule is usable in the lhs and rhs
 - ★ back to a non-polarized one
 - ★ further work: use **polarized** Deduction modulo
- ▶ further work: polarize Krivine's translation

What you hopefully should remember:

- ▶ Focusing is a perfect tool to remove double-negations;
- ▶ antinegation \lrcorner .